

Technology Portfolio, Series 9

Investment Objective

The Technology Portfolio, Series 9 ("Trust") seeks to maximize total return through capital appreciation.

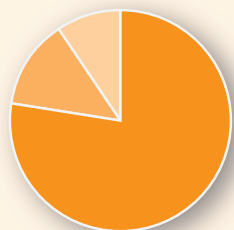
Trust Highlights

- The Trust consists of 40 stocks classified as being in the information technology sector by the Global Industry Classification Standard ("GICS").
- The Trust is diversified across the information technology sector and includes stocks of companies from the following industries: communications equipment, computers and peripherals, electronic equipment and instruments, internet software and services, IT services, office electronics, semiconductors and semiconductor equipment and software.
- Guggenheim Funds Distributors, Inc. (the "Sponsor") selects stocks for the Trust within the sector that it believes have the potential to achieve the Trust's investment objective.

PORTFOLIO ALLOCATION

Breakdown and weightings are as of 10/25/11 and subject to change.

Capitalization Breakdown



- Large-Cap
77.51%
- Mid-Cap
13.25%
- Small-Cap
9.24%

Country Weightings

United States	95.10%
Ireland	4.12%
Bermuda	0.78%
TOTAL	100.00%

Security Selection

The Sponsor selects domestically traded companies that it believes are core holdings of a well diversified technology portfolio. To select the portfolio the Sponsor follows a very disciplined process which includes both quantitative and qualitative analysis. The Sponsor begins with the approximately 500 companies that are in the Russell 3000[®] Index and are classified as being in the information technology sector. The Sponsor then reduces the 500 companies to approximately 150 by performing quantitative screening, which may be primarily based on, but not limited to, the following factors:

- **Valuation.** The Sponsor may screen for reasonably valued companies based on measures such as price-to-earnings, price-to-book and price-to-cash flow.
- **Growth.** The Sponsor may screen for companies with a history of better than average growth of revenues, earnings and dividends (if applicable).
- **Profitability.** The Sponsor may screen for companies with a history of consistent and high profitability as measured by return-on-assets, return-on-equity, gross margin and net margin. The Sponsor then reduces the 150 companies to 40 by performing qualitative analysis, which may be primarily based on, but not limited to, the following factors:
 - **Balance Sheet.** The Sponsor favors companies that possess overall financial strength and exhibit balance sheet improvements relative to their peers and the marketplace.
 - **Industry Leadership.** The Sponsor favors companies that possess a strong competitive position among their domestic and global peers.
 - **Valuation.** The Sponsor favors companies whose valuations appear to be attractive based on measures such as price-to-earnings, price-to-book and price-to-cash flow.
 - **Growth.** The Sponsor favors companies with a history of (and prospects for) better than average growth of revenues, earnings and dividends (if applicable).
 - **Profitability.** The Sponsor favors companies with a history of (and prospects for) consistent and high profitability as measured by return-on-assets, return-on-equity, gross margin and net margin.

The Case for Technology Stocks

The Sponsor believes technology stocks may be compelling for a variety of reasons including:

- **Solid Fundamentals** – Technology companies typically have strong balance sheets, excess available cash, few labor union problems and internationally diverse revenue streams.
- **Efficiency and Innovation** – Because technology companies make products that improve security, storage, and productivity for their clients, their products may be in demand regardless of economic conditions. Additionally, advancements in technology may help lead to breakthroughs in other sectors, such as health care.
- **Increased Demand** – For the current trailing twelve-month period, companies in the Information Technology sector reported sales growth of 24.9%, versus an average of 15.7% for all sectors of the Russell 3000[®] Index.¹
- **Favorable Growth Prospects** – As the middle class in emerging and developing markets such as China and India continues to grow, demand for technology is likely to rise, potentially spurring industry growth.
- **Attractive Valuations** – Despite the recent strong performance of the technology sector, valuations still appear attractive on a historical basis. For example, Apple, Inc. is trading at 14.5 times earnings per share, versus 20 times earnings per share at the end of 2009. In addition, Intel, Inc. is currently trading at nearly 10.3 times earnings per share, versus 16.8 times in 2009.¹

¹ Data provided by Bloomberg L.P. Current data is as of 10/25/11 and all earnings per share are for trailing 12-month period.

Past performance does not guarantee future results. There is no guarantee that these trends and projections will continue or come to fruition and they are subject to change.

INDEX DEFINITION The Russell 3000[®] Index ("Index") measures the performance of the 3,000 largest U.S. companies based on total market capitalization, which represents approximately 98% of the investable U.S. equity market. The Index is unmanaged and it is not possible to invest directly in the Index.

RISK CONSIDERATIONS As with all investments, you may lose some or all of your investment in the Trust. No assurance can be given that the Trust's investment objective will be achieved. The Trust also might not perform as well as you expect. This can happen for reasons such as these: • Securities prices can be volatile. • Due to the current state of the economy, the value of the securities held by the Trust may be subject to steep declines or increased volatility due to changes in performance or perception of the issuers. • The Trust includes securities issued by companies in the information technology sector and adverse developments in the sector may affect the value of your investment. Companies involved in this sector must contend with rapid changes in technology, intense competition, government regulation and the rapid obsolescence of products and services. Furthermore, sector predictions may not materialize and the companies selected for the Trust may not represent

RISK CONSIDERATIONS CONTINUED ON NEXT PAGE

PORTFOLIO HOLDINGS

Holdings and weightings are as of 10/25/11 and subject to change.

Symbol	Company Name	Symbol	Company Name
TECHNOLOGY (100%)			
ACN	Accenture PLC	HPQ	Hewlett-Packard Company
ALTR	Altera Corporation	INTC	Intel Corporation
AXE	Anixter International, Inc.	IBM	International Business Machines Corporation
AAPL	Apple, Inc.	ITRI	Itron, Inc.
ARW	Arrow Electronics, Inc.	KLAC	KLA-Tencor Corporation
ADSK	Autodesk, Inc.	LLTC	Linear Technology Corporation
BMC	BMC Software, Inc.	MRVL	Marvell Technology Group, Ltd.
CRUS	Cirrus Logic, Inc.	MMS	MAXIMUS, Inc.
CSCO	Cisco Systems, Inc.	MSFT	Microsoft Corporation
CGNX	Cognex Corporation	NTAP	NetApp, Inc.
CTSH	Cognizant Technology Solutions Corporation	NVLS	Novellus Systems, Inc.
CPWR	Compuware Corporation	ORCL	Oracle Corporation
GLW	Corning, Inc.	QCOM	QUALCOMM, Inc.
DELL	Dell, Inc.	SWKS	Skyworks Solutions, Inc.
EBAY	eBay, Inc.	SYMC	Symantec Corporation
EMC	EMC Corporation	SNPS	Synopsys, Inc.
EQIX	Equinix, Inc.	VRSN	VeriSign, Inc.
FFIV	F5 Networks, Inc.	V	Visa, Inc.
GPN	Global Payments, Inc.	WU	Western Union Company
GOOG	Google, Inc.	ZBRA	Zebra Technologies Corporation

RISK CONSIDERATIONS (CONTINUED) the entire sector and may not participate in the overall sector growth. • The Trust invests in U.S.-listed foreign securities which presents additional risk due to such factors as adverse economic, currency, political, social or regulatory developments in a country, including government seizure of assets, excessive taxation, limitations on the use or transfer of assets, the lack of liquidity or regulatory controls with respect to certain industries or differing legal and/or accounting standards. • The Trust invests in securities issued by small-capitalization and mid-capitalization companies which customarily involve more investment risk than securities of larger capitalization companies. Small-capitalization and mid-capitalization companies may have limited product lines, markets or financial resources and may be more vulnerable to adverse general market or economic developments. • Share prices or dividend rates on the securities in the Trust may decline during the life of the Trust. • Inflation may lead to a decrease in the value of assets or income from investments. • The Sponsor does not actively manage the portfolio. **Please see the Trust prospectus for more complete risk information.**

Unit Investment Trusts ("UITs") are fixed and not actively managed. An investment in this fixed portfolio should be made with an understanding of the risks involved with owning various types of investments. Industry predictions may not materialize and securities selected for the Trust may not participate in overall industry growth, if any. Units, when redeemed, may be worth more or less than their original purchase price.

This UIT is part of a long-term strategy. Consult an attorney or tax advisor regarding tax consequences associated with an investment from one series to the next, if available. Investors should consult their tax advisor to determine tax consequences associated with the purchase or sale of units. Guggenheim Funds Distributors, Inc. does not offer tax advice.

Consider the investment objectives, risks, charges and ongoing expenses of the UIT carefully before investing. The prospectus contains this and other information about the UIT. Please read the prospectus carefully before investing. To obtain a prospectus, visit www.guggenheimfunds.com or contact a securities representative or Guggenheim Funds Distributors, Inc. 2455 Corporate West Drive, Lisle, IL 60532, 800-345-7999.

PORTFOLIO SUMMARY

Inception Date	October 26, 2011
Termination Date	October 23, 2013
Initial Offer Price	\$10.00
Number of Issues	40
Historical Annual Dividend Distribution*	\$0.0636
Distributions**	25th day of each month commencing on November 25, 2011, if any

* The Historical Annual Dividend Distribution is as of 10/25/11 and subject to change.

** The amount of distributions of the Trust may be lower or greater than the above-stated amount due to certain factors that may include, but are not limited to, a change in the dividends paid by issuers, a change in Trust expenses or the sale or maturity of securities in the portfolio. Fees and expenses of the Trust may vary as a result of a variety of factors including the Trust's size, redemption activity, brokerage and other transaction costs and extraordinary expenses.

TICKETING INFORMATION

CUSIP (cash payment)	40167N187
CUSIP (reinvestment accounts)	40167N195
CUSIP (fee-cash)	40167N203
CUSIP (fee-reinvest)	40167N211
Ticker	CTECIX

SALES CHARGES

Sales Charge ("S/C") is based on a \$10 per unit offering price.

	Amount Per Unit	Max Per Unit %
Up-front S/C	\$0.100	1.00%
Year One Deferred S/C	\$0.245	2.45%
Creation and Development ("C&D") Fee	\$0.050	0.50%
Total S/C	\$0.395	3.95%

The deferred sales charge ("DSC") will be deducted in monthly installments on the last business day commencing May 2012 and ending July 2012. If units are redeemed prior to the DSC period, the entire DSC will be collected.

For unit prices other than \$10, percentages of initial sales charge, C&D fees, and DSCs will vary. Early redemption of units will still cause payment of the DSC.

VOLUME DISCOUNT BREAKPOINTS

Purchase Amount	Sales Charge Reductions (as a % of the Public Offering Price)
Less than \$50,000	0.00%
\$50,000 - \$99,999	0.25%
\$100,000 - \$249,999	0.50%
\$250,000 - \$499,999	0.75%
\$500,000 - \$999,999	1.00%
\$1,000,000 or more	1.50%